

# Astronomy 501: Radiative Processes

## Lecture 7

Sept 12, 2018

Announcements:

- **Problem Set 2** due at start of class Friday  
3(e) hint: for  $c$  a constant,  $\int x \sqrt{x^2 - c^2} dx = \frac{1}{3}(x^2 - c^2)^{3/2}$
- Physics Colloquim today: our own Prof. Jessie Shelton  
“The Higgs Portal onto the Dark Universe”

Last Time:

Q: *blackbody  $B_\nu$  spectrum form? characteristics?*

Q: *limiting regimes?*

Q:  *$B_\nu$  variation with  $T$  at fixed  $\nu$ ?*

↳ Q: *when observing a resolved blackbody—what does  $B_\nu$  give us?*

Q: *compare/contrast with unresolved blackbody?*

# Blackbody Radiation Highlights

specific intensity: Planck function

$$B_\nu(T) = \frac{2h}{c^2} \frac{\nu^3}{e^{h\nu/kT} - 1} \quad (1)$$

- low frequency  $h\nu \ll kT$ : **Raleigh-Jeans limit**

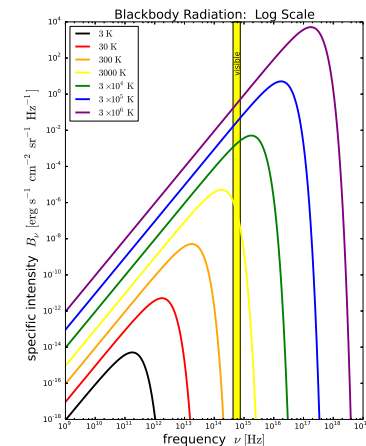
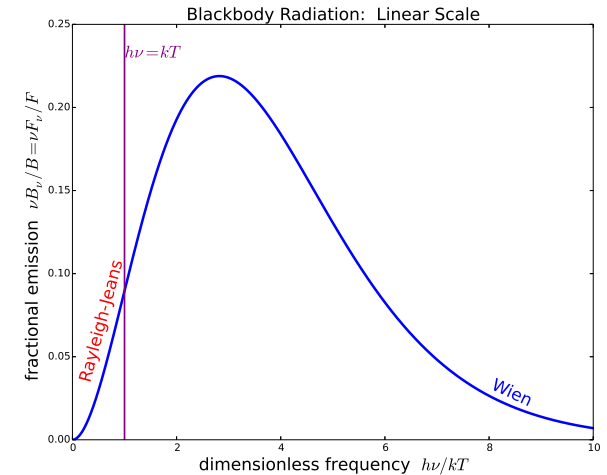
$$B_\nu \approx \frac{2h}{c^2} \nu^2 T$$

- high frequency  $h\nu \gg kT$ : **Wien limit**

$$B_\nu \approx \frac{2h}{c^2} \nu^3 e^{-h\nu/kT}$$

- at each  $\nu$ :  $B_\nu$  always increases with  $T$

blackbody intensity  $B_\nu$  is *unique* for each  $T$



## Brightness Temperature

at each  $\nu$ , blackbody  $B_\nu(T)$  unique for each  $T$   
→ for resolved blackbody, measured  $B_\nu$  gives  $T$ !

invert to define **brightness** / **antenna temperature**

$$B_\nu(T_b) = I_\nu \quad (2)$$

$$T_b(\nu) = \frac{h\nu/k}{\ln\left(\frac{2h\nu^3}{c^2 I_\nu} + 1\right)} \quad (3)$$

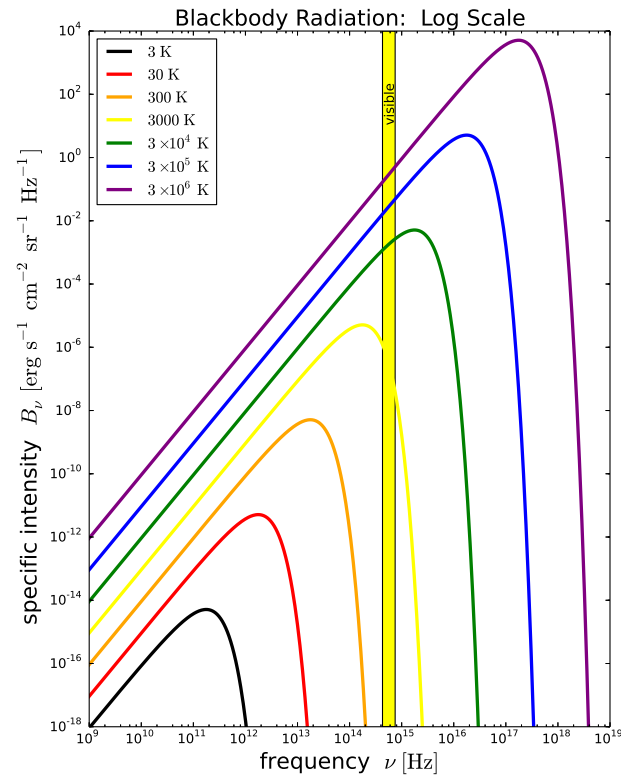
$$\xrightarrow{h\nu \ll kT} \frac{c^2}{2k} \frac{I_\nu}{\nu^2} \quad (4)$$

note: *defined for all  $I_\nu$  even if not blackbody!*

ω another way of characterizing intensity

brightness temperature

$$B_\nu(T_b) = I_\nu$$



Q: why is this useful?

Q: what does it mean for a nonthermal source?

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www: famous discovery

www: modern  $T_b$  results plotted

## Wien's Displacement Laws

for blackbodies,  
specific intensity, and flux, and energy density have

$$I_\nu \propto F_\nu \propto u_\nu \propto \frac{\nu^3}{e^{h\nu/kT} - 1} \quad (5)$$

at fixed  $T$ , these *spectra all peak at same frequency*

maximum when  $x = h\nu/kT$  satisfies  $x = 3(1 - e^{-x})$   
 $\rightarrow x_{\max} = 2.821439\dots$ , which gives

$$\frac{\nu_{\max}}{T} = x_{\max} \frac{kT}{h} = 5.88 \times 10^{10} \text{ Hz K}^{-1} \quad (6)$$

i.e.,  $\nu_{\max} \propto T$ , as expected from dimensional analysis

in wavelength space,  $I_\lambda \propto \lambda^{-5} / (e^{hc/\lambda kT} - 1)$

maximum when  $y = hc/\lambda kT$  satisfies  $y = 5(1 - e^{-y})$   
 $\rightarrow y_{\max} = 4.9651 \dots$ , which gives

$$\lambda_{\max} T = \frac{1}{y_{\max}} \frac{hc}{k} = 0.290 \text{ cm K} \quad (7)$$

i.e.,  $\lambda_{\max} \propto 1/T$ , as expected from dimensional analysis

both versions of Wien's Law measure  $T$ : **color temperature**

crucial gotcha: **beware!**  $\lambda_{\max} \neq c/\nu_{\max}$

Q: *why?*

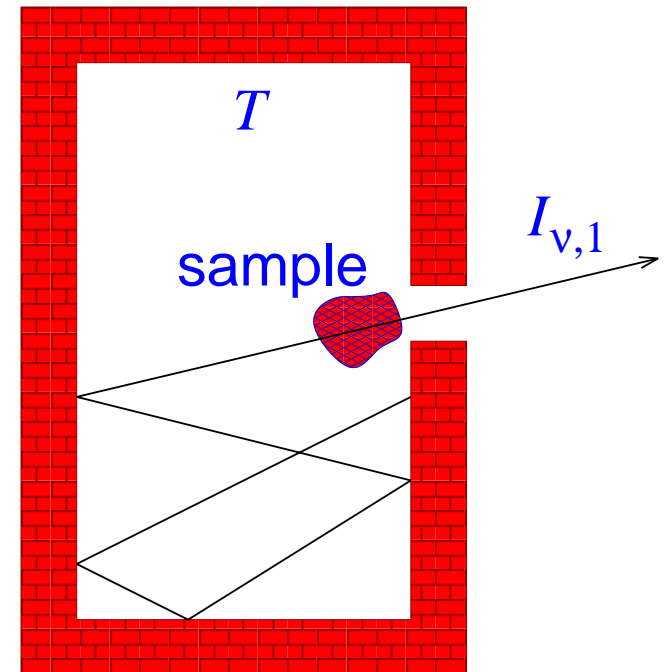
# Thermal Radiation Transfer

Consider a cavity in thermodynamic equilibrium

place *small sample of matter* in cavity  
along peephole sightline

note: “sample,” most generally:

- *not* necessarily large
- *nor* optically thick!
- and might contain free ions, and/or bound states (atoms and molecules)



allow system to come into *thermodynamic equilibrium* at  $T$

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Q: effect on  $I_{\nu}$  emerging from peephole?

Q: implications?

matter sample will emit and absorb radiation according to its detailed composition, and according to its state at  $T$  (i.e., ion, atom, molecule)  
⇒ absorption  $\alpha_\nu(T)$  and emission  $j_\nu(T)$   
both characteristic of the matter, *not universal!*

But in cavity+sample system, there is thermal equilibrium  
→ must still be blackbody emitter!  
→ *emitted radiation must have*  $I_{\nu,\text{out}} = B_\nu(T)$

Yet radiation *incident* on sample in cavity already was blackbody:  $I_{\nu,\text{in}} = B_\nu(T)$

specific intensity change through sample

$$\frac{dI_\nu}{ds} = -\alpha_\nu(I_\nu - S_\nu) \quad (8)$$

∞ Q: so what condition required for ray to maintain  $I_{\nu,\text{in}} = I_{\nu,\text{out}} = B_\nu(T)$  through sample?



## Kirchhoff's Law for Thermal Emission

blackbody radiation  $B_\nu(T)$  must remain **unchanged** when traversing arbitrary matter with temperature  $T$   
 $\Rightarrow$  demands that *all matter* at  $T$  has source function

$$S_\nu(T) = B_\nu(T) \quad (9)$$

**Kirchhoff's Law** for thermal emission

Physically: equilibrium forces *emission-absorption relation*:

$$j_\nu = \alpha_\nu B_\nu(T) \quad (10)$$

Consequences:

- a good (poor) emitter is a good (poor) absorber
- *thermal* emission has  $S_\nu = B_\nu(T)$  and has

$$\frac{dI_\nu}{d\tau_\nu} = -I_\nu + B_\nu \quad (11)$$

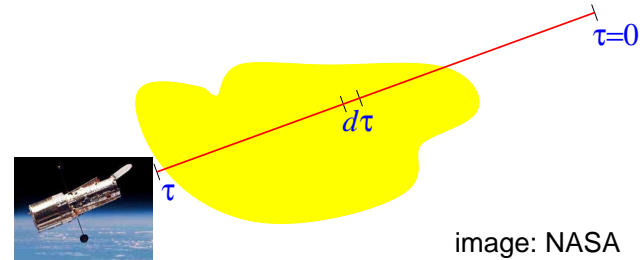
Q: so when will **thermal** source have **blackbody** spectrum?

thermal source has  $S_\nu(T) = B_\nu(T)$

as seen in the PS1 Olber's problem!

and so transfer equation becomes

$$\frac{dI_\nu}{d\tau_\nu} = -I_\nu + B_\nu$$



but since  $B_\nu(T)$  is homogeneous, isotropic

if no background source, then  $I_\nu(0) = 0$ , and solution is

$$I_\nu(s) = \left(1 - e^{-\tau_\nu(s)}\right) B_\nu(T) \quad (12)$$

- if *optically thin*, then observe  $I_\nu \approx \tau_\nu B_\nu(T) = j_\nu(T) \Delta s$   
intensity *not blackbody, and depends on emitter physics*  
www: Cas A X-ray spectrum (Chandra) Q: *what is Cas A?*

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- if *optically thick*, then  $I_\nu \rightarrow B_\nu$  as seen in PS1  
intensity relaxes to emitter-independent *blackbody* radiation

## Build Your Toolbox: Imaging

Questions to ask about resolved astronomical images:

*Q: what does it mean to be resolved?*

*Q: what questions to ask?*

*Q: how to answer?*

## Toolbox: Imaging

### resolved images:

- *angular size* > *angular resolution*
- surface brightness  $I_\nu$  observable

Questions to ask

- What wavebands are in image?
- is the source optically thin or thick at these  $\nu$ ?

**optically thick:** image shows surface

$I_\nu \rightarrow S_\nu = j_\nu \ell_{\text{mfp},\nu}$  at surface  $\rightarrow B_\nu(T_{\text{surf}})$  if thermal equilib

**optically thin:** measure volume in projection

$I_\nu \approx j_\nu \delta s$

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Q: How to tell if optically thick/thin?

## Thick or Thin?

How to tell if optically thick/thin? Non-trivial!

- use spectral info: compare image across  $\nu$   
does it follow blackbody?
- quantitatively: find brightness temperature  $T_b(\nu)$   
over multiple  $\nu$  or colors  
if blackbody: uniform  $T_b = T$  across colors  
gives thermodynamic temperature  
otherwise: spectrum nonthermal,  
not all emission optically thick

## Build Your Toolbox: Blackbody Radiation

emission physics: matter-radiation interactions

*Q: physical conditions for blackbody radiation?*

*Q: physical nature of sources?*

*Q: spectrum characteristics?*

*Q: frequency range?*

real/expected astrophysical sources of blackbody radiation

*Q: what do we expect to emit blackbody radiation?*

*Q: relevant temperatures? EM bands?*

# Toolbox: Blackbody Radiation

## emission physics

- **physical conditions:** *optically thick* objects  
*in thermodynamic equilibrium*
- **physical sources:** *solids, dense liquids or gasses*
- **spectrum:** continuum. nonzero at all frequencies  
*single peak* at  $h\nu = 2.82kT$  (Wien's law)  
power law  $I_\nu \sim \nu^2 T$  for  $h\nu \ll kT$   
exponential cutoff  $I_\nu \sim \nu^3 e^{-h\nu/kT}$   $h\nu \gg kT$

## astrophysical sources of blackbody radiation

- **emitters:** *solids: dust, asteroids, planets, compact objects*  
*stars, some disks, the Universe*
- **temperatures:** *spans 3K to at least  $10^9$  K*
- **EM bands:** *microwave to MeV (and above?)*

# Lines: Two-Level Systems



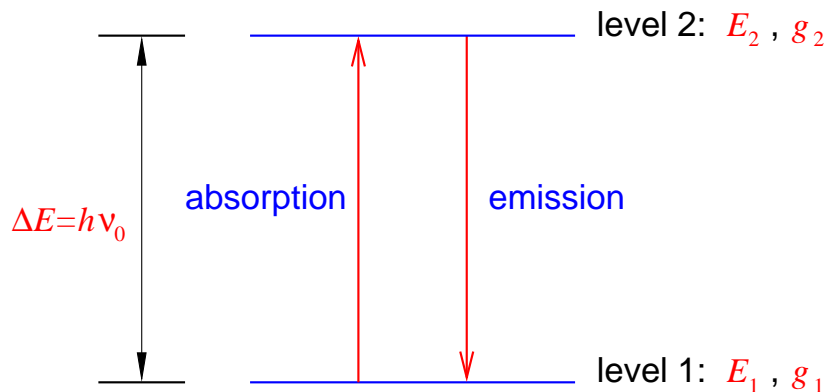
# Two-Level Systems in Radiative Equilibrium

consider an ensemble of systems (“atoms”) with

- **two discrete energy levels**  $E_1, E_2$

- and degeneracies  $g_1, g_2$

i.e., a number  $g_1$  of distinct states have energy  $E_1$



in thermodynamic equilibrium at  $T$ , emission and absorption exchange energy with photon field

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*Q: when & why emit? absorb?*

*Q: connection between emission, absorption rates in ensemble?*

# Spontaneous Emission

in general, atoms in states with higher energy  $E_2$  will *decay* to lower level  $E_1$   
photon of energy  $\Delta E = E_2 - E_1 = h\nu_0$  will be emitted

transition can occur without influence of other atoms, photons  
**spontaneous emission:**  $X_2 \rightarrow X_1 + h\nu$

spontaneous emission rate per atom is  
 $E_2 \rightarrow E_1$  *transition rate per atom:*

$$\text{transition probability per unit time per atom} = A_{21} \quad (13)$$

- “**Einstein A**” coefficient
- units  $[A_{21}] = [\text{sec}^{-1}]$
- spontaneous:  $A_{21}$  independent of  $T$
- but  $A_{21}$  *does depend on detailed atom properties*

# Absorption

atoms in lower state  $E_1$  only promoted to state  $E_2$  by absorbing a photon of energy  $\Delta E$



if levels were perfectly sharp, absorb only at  $\Delta E = h\nu_0$  but in general, energy levels have *finite width*

i.e., *line energies "smeared out"* by some amount  $h \Delta\nu$  so transitions can be made by photons with frequencies

$$\nu_0 - \Delta\nu \lesssim \nu \lesssim \nu_0 + \Delta\nu$$

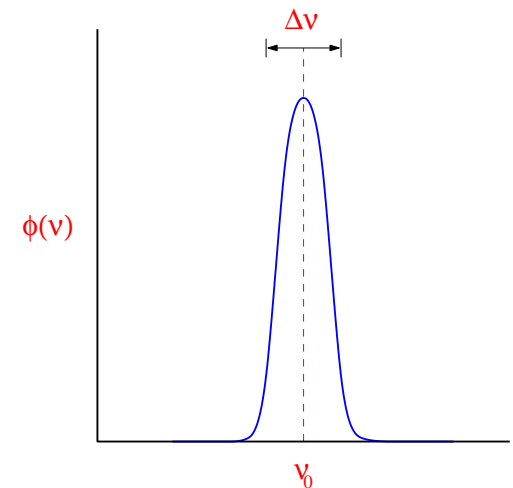
useful to define **line profile function**  $\phi(\nu)$

with normalization  $\int \phi(\nu) d\nu = 1$

e.g., Gaussian, Lorentzian, Voigt functions

6 limiting case of sharp levels  $\Delta\nu \rightarrow 0$ :

$$\phi(\nu) \rightarrow \delta(\nu - \nu_0)$$



absorptions require ambient photons

thus absorption rate per atom *depends on photon field*  
and ensemble *average absorption rate*  
depends on average intensity

$$\bar{J} \equiv \int \phi(\nu) J_\nu d\nu \quad (14)$$

limiting case of sharp levels:  $\bar{J} \rightarrow J_{\nu_0}$

thus write average absorption rate as

$$\text{transition probability per time per atom} = B_{12} \bar{J} \quad (15)$$

- “**Einstein  $B$  coefficient**”
- $B_{12}$  is probability per time per intensity
- depends on atom and state details
- but *does not depend on  $T$*

## Stimulated Emission

Einstein postulated a new emission mechanism:

*driven by* photons with transition energy  $\nu_0 - \Delta\nu \lesssim \nu \lesssim \nu_0 + \Delta\nu$   
 $h\nu + X_2 \rightarrow X_1 + 2h\nu$

I.e., the presence of transition photons creates “peer pressure” “encourages” atoms in higher state to make transition faster than they would spontaneously: **stimulated emission**  
plausible? yes—photons interact with and perturb atoms

if stimulated emission exists, should also depend on  $\bar{J}$   
→ rate per atom is

$$\text{transition probability per time per atom} = B_{21}\bar{J} \quad (16)$$

- note stimulated emission coefficient  $B_{21}$   
can be *different from* absorption coefficient  $B_{12}$
- if stimulated emission doesn't happen, would find  $B_{21} = 0$

## The Equilibrium Condition

In thermodynamic equilibrium, the numbers  $n_1, n_2$  of atoms in each state do not change with time  
→ total emission rate is equal to absorption rate

$$n_2 A_{21} + n_2 B_{21} \bar{J} = n_1 B_{12} \bar{J} \quad (17)$$

solve for ambient radiation field

$$\bar{J} = \frac{A_{21}/B_{21}}{n_1/n_2 \cdot B_{12}/B_{21} - 1} \quad (18)$$

in thermodynamic equilibrium, atom state populations follow *Boltzmann distribution*

$$\frac{n_1}{n_2} = \frac{g_1 e^{-E_1/kT}}{g_2 e^{-E_2/kT}} = \frac{g_1}{g_2} e^{(E_2 - E_1)/kT} = \frac{g_1}{g_2} e^{h\nu_0/kT} \quad (19)$$

and so

$$\bar{J} = \frac{A_{21}/B_{21}}{g_1/g_2 \cdot B_{12}/B_{21} \cdot e^{h\nu_0/kT} - 1} \quad (20)$$

thus we find that in equilibrium,  
the mean intensity near  $\nu_0$  is

$$\bar{J} = \frac{A_{21}/B_{21}}{g_1/g_2 \frac{B_{12}}{B_{21}} e^{h\nu_0/kT} - 1} \quad (21)$$

but in equilibrium, and with narrow linewidth,  
the mean intensity should be blackbody result:

$$\bar{J} \rightarrow B_\nu(T) = \frac{2h\nu^3/c^2}{e^{h\nu/kT} - 1} \quad (22)$$

Q: *what condition(s) must hold to satisfy  
both equations for any  $T$ ?*

because  $A$  and both  $B$  do not depend on  $T$   
the only way to have, at any  $T$ ,

$$\bar{J} = \frac{2h\nu^3/c^2}{e^{h\nu/kT} - 1} = \frac{A_{21}/B_{12}}{g_1/g_2 \cdot B_{12}/B_{21} \cdot e^{h\nu/kT} - 1} \quad (23)$$

is to require two *Einstein relations*

$$A_{21} = \frac{2h \nu^3}{c^2} B_{21} \quad (24)$$

$$g_2 B_{21} = g_1 B_{12} \quad (25)$$

- these relations are independent of  $T$ :  
hold even without thermal equilibrium!
- $B_{21} \neq 0$ : spontaneous emission exists!  
and typically has probability comparable to absorption!  
give it up for Big AI!



## Two-Level Systems: Thermal Radiation

Now consider the two-level atom as a radiating system  
What are the emission and absorption coefficients?

### Emission Coefficient

spontaneous emission rate per atom in state 2:  $A_{21}$

→ rate per volume:  $n_2 A_{21}$

→ total power emitted per volume:  $h\nu_0 n_2 A_{21}$

emission isotropic → power per volume per solid angle:

$$h\nu_0 n_2 A_{21} / 4\pi \quad \text{Q: why?}$$

but still need *frequency spectrum*

of emitted radiation, i.e., *emission profile*

Q: *simplest assumption?*

simplest assumption (generally accurate):

→ emission spectrum profile = absorption profile  $\phi(\nu)$

and thus energy released in spontaneous emission is

$$d\mathcal{E} = \frac{h\nu_0}{4\pi} n_2 A_{21} \phi(\nu) dV dt d\nu d\Omega \quad (26)$$

and thus the emission coefficient is

$$j_\nu = \frac{h\nu_0}{4\pi} n_2 A_{21} \phi(\nu) \quad (27)$$

## absorption coefficient

absorption rate per atom in level 1:  $B_{12}\bar{J}$

thus energy absorbed is

$$d\mathcal{E} = \frac{h\nu_0}{4\pi} n_1 B_{12} \bar{J} dV dt \quad (28)$$

but  $4\pi\bar{J} = \int d\Omega \int I_\nu \phi(\nu) d\nu$ , so

$$d\mathcal{E} = \frac{h\nu_0}{4\pi} n_1 B_{12} I_\nu \phi(\nu) dV dt d\Omega d\nu \quad (29)$$

recall: path element  $ds$  in area  $dA$  has volume  $dV = ds dA$  and so we find absorption coefficient

$$\alpha_{\text{abs},\nu} = \frac{h\nu_0}{4\pi} n_1 B_{12} \phi(\nu) \quad (30)$$

...but we are not done! Q: *because...?*

## stimulated emission

tempting to include this as additional emission term

but wait! stimulated emission depends on (average) intensity  
→ formally more similar to absorption

formally better to treat stimulated emission as  
a *negative absorption* term:

$$\alpha_{\text{stim},\nu} = -\frac{h\nu_0}{4\pi}n_2B_{21}\phi(\nu) \quad (31)$$

and then *(net) absorption coefficient*

$$\alpha_\nu = \alpha_{\text{abs},\nu} + \alpha_{\text{stim},\nu} \quad (32)$$

$$= \frac{h\nu_0}{4\pi}\phi(\nu) (n_1B_{12} - n_2B_{21}) \quad (33)$$

## Two-Level Radiation Transfer

Transfer equation for two-level atom

$$\frac{dI_\nu}{ds} = -\frac{h\nu_0}{4\pi}\phi(\nu) (n_1B_{12} - n_2B_{21}) I_\nu + \frac{h\nu_0}{4\pi}n_2A_{21}\phi(\nu) \quad (34)$$

source function

$$S_\nu = \frac{n_2A_{21}}{n_1B_{12} - n_2B_{21}} \quad (35)$$

Einstein relations give

$$\alpha_\nu = \frac{h\nu_0}{4\pi}\phi(\nu) n_1B_{12} \left(1 - \frac{n_2g_1}{n_1g_2}\right) \quad (36)$$

$$S_\nu = \frac{2h\nu^3/c^2}{(n_1/n_2)(g_2/g_1) - 1} \quad (37)$$

a generalization of Kirchhoff's laws

these *do not assume thermal equilibrium!*

Q: *interesting cases?*

## Local Thermodynamic Equilibrium

*if* atom levels are in thermodynamic equilibrium  
then we have  $n_1/n_2 = (g_1/g_2)e^{h\nu/kT}$  and

$$S_\nu = \frac{2h\nu^3/c^2}{e^{h\nu/kT} - 1} = B_\nu(T) \quad (38)$$

we recover the usual Kirchhoff's law! as we must!

and absorption term becomes

$$\alpha_\nu = \frac{h\nu_0}{4\pi} \phi(\nu) n_1 B_{12} (1 - e^{-h\nu/kT}) \quad (39)$$

i.e., “uncorrected” term minus stimulated emission correction

What if not in thermodynamic equilibrium?

then  $n_1/n_2 \neq$  Boltzmann expression  
emission is nonthermal

## Inverted Populations

two-level absorption coefficient is:

$$\alpha_\nu = \frac{h\nu_0}{4\pi} \phi(\nu) n_1 B_{12} \left( 1 - \frac{n_2 g_1}{n_1 g_2} \right) \quad (40)$$

note that the algebraic *sign*

depends on population levels, i.e., on  $n_2/n_1$   
normally, lower level more populated:  $n_1 > n_2$

*If* we can arrange or stumble upon a system where

$$\frac{n_1}{g_1} < \frac{n_2}{g_2} \quad (41)$$

i.e., an *inverted population*, then  $\alpha_\nu < 0$ !

Q: and then what happens to propagating light?

Q: examples?

Q: how might we arrange an inverted population?

# Masers

if  $\alpha_\nu < 0$ , then propagating light has exponential *increase* in intensity!

stimulated emission causes a “cascade” of photons

in lab: create inverted populations of atoms

use mirrors to “recycle” stimulating photons

→ this is a laser! light amplification by stimulated emission of radiation

in cosmos: inverted populations of molecules

maser: microwave amplification by stimulated emission of radiation

⌘ how to create inversion?

need *nonthermal* mechanism to “pump” upper level