

Astro 404  
Lecture 16  
Oct. 2, 2019

Announcements:

- **Problem Set 5 due Friday at 5:00 pm**  
see Homework Discussion on Compass for FAQs
- Instructor office hours this week:  
tomorrow (Thurs) 10–11 am, 2–3pm

Last time: solar neutrinos

*Q: how do we detect neutrinos from the Sun?*

*Q: what is the main result of solar neutrino experiments?*

└ *Q: what does this teach us about the Sun?*

## Solar Neutrino Results

Solar Neutrino experiments show

- the Sun shines in neutrinos
- the neutrino flux agrees with solar models!

- I. proof that Sun powered by nuclear fusion
- II.  $\nu$ s give direct view into solar core
- III. these underground vats are  $\nu$  telescopes!

A new window on the Universe:

**Nobel Prize 2002!**

Raymond Davis Jr. and Masatoshi Koshiba

# Time Reversal and Particle Interactions

last time I claimed: if  $\nu$  were truly *non-interacting* and can't collide and react with ordinary particles then they can't be made in the first place – but why?

answer: *time reversal invariance*

almost without exception: if a microscopic process can occur then the “time reversed” process is also physically possible  
→ run the movie backwards, and this must be allowed

so consider the observed reaction:  $\nu_e p \rightarrow n e^+$

- *neutrino absorbed* by proton, creates neutron and positron  
this requires time-reversed  $n e^+ \rightarrow \nu_e p$  is possible
- *neutrino emitted*

ω Lesson: time reversal invariance implies that  
**absorbers most also be emitters**  
both must occur if an interaction exists

## Solar Neutrino Experiments: A Deeper View

**1960s:** original chlorine radiochemical experiment (Ray Davis):

- sensitive only to a small component of very high-energy  $\nu$ s
- signal detected, but flux  $\Phi_{\nu}^{\text{obs}} \approx \Phi_{\nu}^{\text{predicted}}/3$

birth of “**solar neutrino problem**” – where did they go?

**1990's:** solar neutrino deficit confirmed

possible explanations:

- theory of solar nuclear reactions is wrong/incomplete
- neutrino theory incomplete

it was already known that: *neutrinos have 3 varieties (“flavors”)*

$\nu_e, \nu_{\mu}, \nu_{\tau}$ : named for partner they appear with

solar neutrinos produced as  $\nu_e$ : should remain so

→ unless neutrinos can transform into different flavors!

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*Q: how to test for the latter possibility?*

# The Sun Reveals New Neutrino Physics

if neutrino flavor transformations exist

- some particles born in Sun as  $\nu_e$
- can arrive at Earth as  $\nu_\mu$  or  $\nu_\tau$
- but radiochemical experiments only “see”  $\nu_e$

To test:

build detectors sensitive to *all flavors*

this was done: Sudbury Neutrino Observatory (SNO)

**early 2000s:** SNO results weigh in

- $\nu_\mu$  and  $\nu_\tau$  *detected* from Sun!
- *total flux* for *all  $\nu$*  *agrees* with Solar model!
- **confirms new neutrino physics**
- also *transformations require neutrinos have mass!*  
non-obvious property of the quantum flavor transformations

## Neutrinos and Mass

neutrino flavor transformations confirmed in lab experiments:

use nuclear reactors as  $\nu_e$  sources

detect neutrino disappearance with distance

characteristic of quantum “oscillation” into other flavors

www: oscillation data

confirms *neutrinos have mass*,

but only measures mass differences!

Using the Sun to probe neutrino transformation and mass:

**Nobel Prize 2015!**

- o Arthur MacDonal and Taakaki Kajita

## iClicker Poll: How do Stars Shine?

We have proven the Sun is nuclear powered  
in core: energy generated by  $4p \rightarrow {}^4\text{He}$

**Vote your conscience!**

What can we infer about other stars?

**A** *all other stars* burn hydrogen  $\rightarrow$  helium

**B** *only  $1M_{\odot}$  stars* burn hydrogen  $\rightarrow$  helium

**C** *all main sequence* stars burn hydrogen  $\rightarrow$  helium

**D** none of the above

# Main Sequence: Hydrogen Burning Phase

HR diagram teaches:

- the Sun is a typical main sequence star
- main sequence is the longest phase in a star's life

energy conservation teaches:

main sequence luminosity and lifetime demand large energy source  
only nuclear energy can sustain

so we infer:

**all main sequence stars are nuclear reactors  
converting hydrogen to helium**

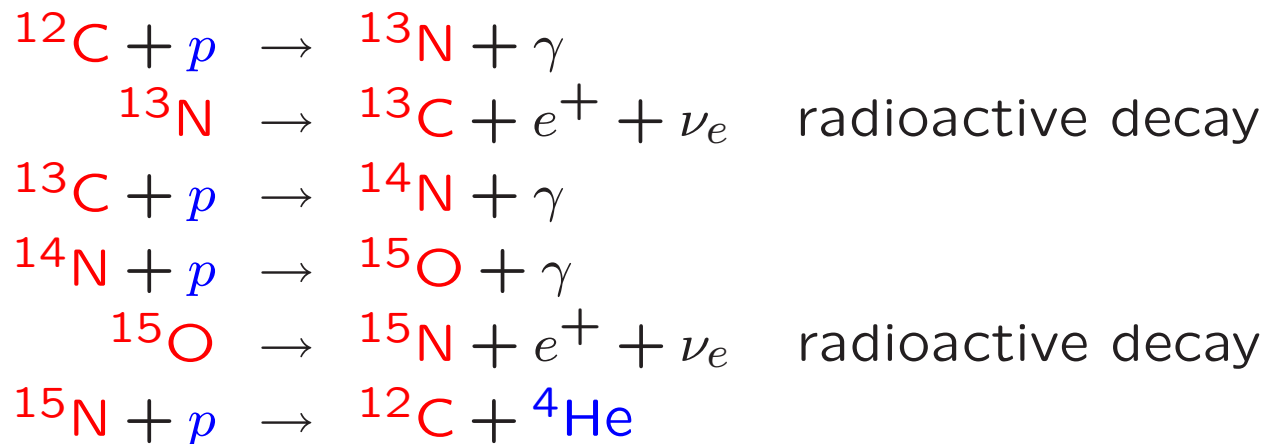
∞ nuclear power makes stars shine!



## Another Way to Burn Hydrogen

the Sun and other stars are mostly made of hydrogen with about 28% helium by mass (less by number—Q: *why?*) and about 2% by mass of heavier elements

some of most abundant heavy elements (“metals”) are carbon, oxygen, nitrogen (CNO) these allow for another set of reactions

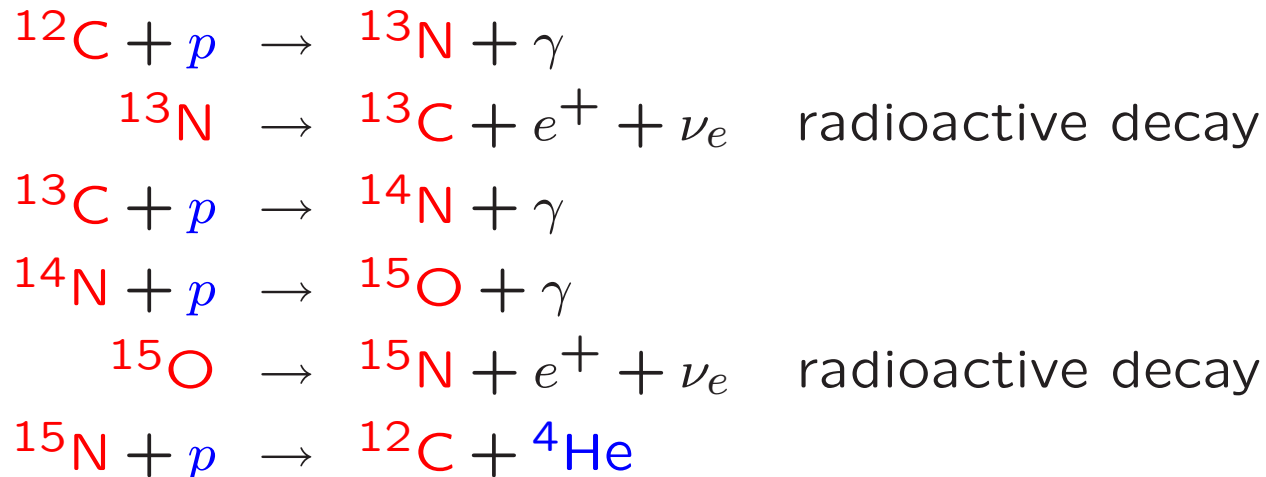


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Q: *what is total net input? total net output?*

Q: *what is the role of CNO?*

## The CNO Cycle



then repeat—recycle the  ${}^{12}\text{C}$ !

- ★ *same net effect as pp chain: another way to burn hydrogen!*
- ★ *total CNO unchanged: acts as a catalyst!*
- ★ CNO morphs to different forms but comes back: **cyclic!**  
can start anywhere in the cycle!

this chain: the **CNO cycle**

## iClicker Poll

**Vote your conscience!**

Q: Which chain dominates hydrogen production in stars?

- A  $pp$  dominates for all stars
- B CNO cycle dominates for all stars
- C  $pp$  dominates for stars with cooler cores, CNO for hotter
- D  $pp$  dominates for stars with hotter cores, CNO for cooler

## Hydrogen Burning: $pp$ versus CNO

*reaction chain speed/importance set by slowest link*

the most difficult and thus “*rate limiting step*”

*pp chain*: rate limited by  $pp \rightarrow de^+ \nu_e$

- weak reaction required
- three body final state disfavored

*CNO cycle*: rate limited by  $p + {}^{14}\text{N} \rightarrow {}^{15}\text{O} + \gamma$

- large Coulomb repulsion due to  ${}^{14}\text{N}$  charge  $Z = 7$
- but CNO has no weak reactions, only weak decays

*which is dominant depends on star core temperature!*

cooler stars can't overcome large CNO Coulomb barrier

but hot stars can, then can burn fast

for main sequence stars:

- $pp$  dominates of mass  $M \lesssim 1.3M_{\odot}$
- CNO dominates for higher masses

# Reaction Rates and Cross Sections

Imagine some general reaction:  $a + b \rightarrow c + d$

Consider particle beam:

“projectiles,” number density  $n_a$   
incident w/ velocity  $v$   
on targets of number density  $n_b$

Due to interactions, targets and projectiles “see” each other as spheres of projected area  $\sigma(v)$ : the

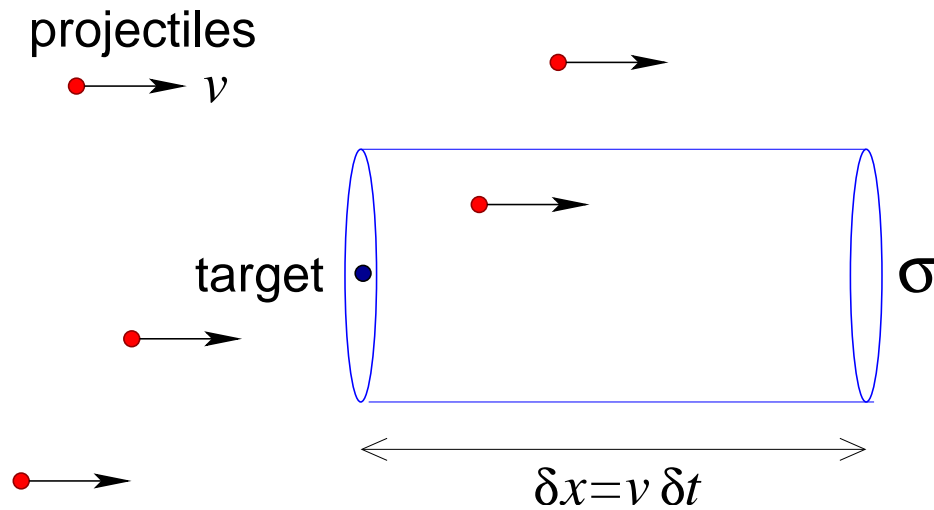
**cross section**

- ★ fundamental measure interaction strength/probability
- ★ *microphysics meets astrophysics via  $\sigma$*

13 in time  $\delta t$ , what is avg # collisions on one target?  
Q: *what defines “interaction zone” around target?*

interaction zone: particles sweep out “*scattering tube*”

- tube area  $\sigma$
- length  $\delta x = v \delta t$



*scattering tube volume* around target:

$$\delta V = \sigma \delta x = \sigma v \delta t$$

collide if a projectile is in the volume

## Cross Section, Flux, and Collision Rate

in scattering tube volume  $\delta V = \sigma v \delta t$ ,

average number of projectiles in tube =  $\mathcal{N}_{\text{proj,tube}} = n_a \delta V$

so: *average number of collisions in  $\delta t$ :*

$$\delta \mathcal{N}_{\text{coll}} = \mathcal{N}_{\text{proj,tube}} = n_a \sigma v \delta t \quad (1)$$

so  $\delta \mathcal{N}_{\text{coll}} / \delta t$  gives

$$\text{avg collision rate per target } b \quad \Gamma_{\text{per } b} = n_a \sigma_{ab} v \quad (2)$$

Q:  $\Gamma$  units? sensible scalings  $n_a, \sigma, v$ ? why no  $n_b$ ?

Q: average target collision time interval?

15 Q: average projectile distance traveled in this time?

# Reactions: Characteristic Length and Time Scales

estimate *average time between collisions on target b*:

**mean free time  $\tau$**

collision rate:  $\Gamma = dN_{\text{coll}}/dt$

so wait time until next collision set by  $\delta N_{\text{coll}} = \Gamma_{\text{per } b} \tau = 1$ :

$$\tau = \frac{1}{\Gamma_{\text{per } b}} = \frac{1}{n_a \sigma v} \quad (3)$$

in this time, projectile  $a$  moves distance: **mean free path**

$$\ell_{\text{mpf}} = v\tau = \frac{1}{n_a \sigma} \quad (4)$$

no explicit  $v$  dep, but still  $\ell(E) \propto 1/\sigma(E)$

Q: *physically, why the scalings with  $n, \sigma$ ?*

PS5: alternative derivation of mean free path

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Q: *what sets  $\sigma$  for billiard balls?*

Q: *what set  $\sigma$  for  $e^- + e^-$  scattering?*



## Cross Section vs Particle “Size”

*if* particles interact only by “touching”

(e.g., billiard balls)

then  $\sigma \leftrightarrow$  particle radii

*but*: if interact by force field

(e.g., gravity, EM, nuclear, weak)

cross section  $\sigma$  *unrelated* to physical size!

For example:  $e^-$  has  $r_e = 0$  (as far as we know!)

but electrons scatter via Coulomb (and weak) interaction

“touch-free scattering”

17 Q: *what is collision or reaction rate per volume?*

## Reaction Rate Per Volume

recall: collision rate *per target b* is  $\Gamma_{\text{per } b} = n_a \sigma_{ab} v$   
total collision rate *per unit volume* is

$$r_{ab} = \frac{dn_{\text{coll}}}{dt} = \Gamma_{\text{per } b} n_b = \frac{1}{1 + \delta_{ab}} n_a n_b \sigma v \quad (5)$$

Kronecker  $\delta_{ab}$ : 0 unless particles  $a$  &  $b$  identical

Note: *symmetric* w.r.t. the two particles

also note:  $n_a n_b \propto$  *number of ab pairs*

reflects the fact that  $ab \rightarrow cd$  reactions  
are initiated by  $ab$  pairs!

*Q: What if particles have more than one relative velocity?*

*What is energy generation rate per volume?*

## Reaction and Energy Generation Rates

If  $v \in$  distribution, rates is average over velocities:

$$\langle r_{ab} \rangle = n_a n_b \langle \sigma v \rangle \quad (6)$$

energy generation rate per volume:

depends on reaction rate  $r_{ab}$

and **energy release per reaction**  $Q_{ab}$  :

$$\dot{\epsilon}_{ab} = \frac{dE_{ab}}{dV dt} = Q_{ab} \frac{dN}{dV dt} = Q_{ab} r_{ab} = Q_{ab} n_a n_b \langle \sigma v \rangle \quad (7)$$

energy generation per unit mass:

$$q_{ab} = \frac{\dot{\epsilon}_{ab}}{\rho} = X_a X_b \frac{Q_{ab}}{m_a m_b} \rho \langle \sigma v \rangle \quad (8)$$

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where  $m_a$  is mass of particle  $a$

and  $X_a = \rho_a / \rho$  is fraction of mass density in  $a$

## Hydrogen Burning Rates

nuclear energy generation rate per volume:

$$q_{ab} = \frac{\dot{\epsilon}_{ab}}{\rho} = X_a X_b \frac{Q_{ab}}{m_a m_b} \rho \langle \sigma v \rangle \quad (9)$$

- proportional to *density*:  $q \propto \rho$
- depends on *temperature* via particle speeds:  $\langle \sigma(v) v \rangle$

for hydrogen burning, roughly have:

$$q_{pp} \propto X_p^2 \rho T^4 \quad (10)$$

$$q_{\text{CNO}} \propto X_p X_{\text{CNO}} \rho T^{16} \quad (11)$$

note strong CNO temperature dependence:

important for stars with high  $T_c$

$\Rightarrow$  huge luminosity for massive main sequence stars