> Astro 507
> Lecture 21
> March 9, 2014

Announcements:

- Preflight 4 due 9am Friday

Last time: theory of isotropic CMB spectrum
key aspect: Thompson scattering is only process acting
for most photons (i.e., for all photons with $h \nu \lesssim 40 k T$ )
Given a photon spectrum $I_{\nu}$ prior to decoupling
Q: what is spectrum after Thompson freezeout?
Observed (post-decoupling) CMB spectrum: thermal
Q: implications?

Q: what physically controls onset of decoupling?

## Statistical Mechanics and Cosmology

For much of cosmic time contents of $U$. in thermal equilibrium
statistical mechanics: at fixed $T \rightarrow$ matter \& radiation $n, \rho, P$ then cosmic $T(a)$ evolution $\rightarrow n, \rho, P$ at any epcoh

Boltzmann: consider a particle (elementary or composite) with a series of energy states:
for two sets of states with energies $E_{1}$ and $E_{2}>E_{1}$ and degeneracies (\# states at each $E$ ) $g_{1}$ and $g_{2}$ ratio of number of particles in these states is

$$
\frac{n\left(E_{2}\right)}{n\left(E_{1}\right)}=\frac{g_{2}}{g_{1}} e^{-\left(E_{2}-E_{1}\right) / T}
$$


where I put $k=1$, i.e., $k T \rightarrow T$
Example: atomic hydrogen, at $T$
Q: ratio of ground (1S) to 1st excited state (2P) populations?

Atomic hydrogen (H I):

- energy levels: $E_{n}=-B_{\mathrm{H}} / n^{2}$ for $n \geq 1$
- angular momenta degeneracies: $g_{\ell}=2 \ell+1$
$1 S: n=1 \rightarrow E(1 S)=-B ; \ell=0 \rightarrow g(1 S)=1$
$2 P: n=2 \rightarrow E(2 P)=-B / 4 ; \ell=1 \rightarrow g(2 P)=3$

$$
\begin{equation*}
\frac{n(2 P)}{n(1 S)}=3 e^{-3 B / 4 T}=3 e^{-120,000 \mathrm{~K} / T} \tag{1}
\end{equation*}
$$

Q: sanity checks-is this physically reasonable?

Q: how does this ratio change if plasma is partially ionized i.e., contains both H I and $\mathrm{H} \mathrm{II}=\mathrm{H}^{+}=p$ ?

Note: H is bound system $\rightarrow$ discrete energies
$\omega$ we now broaden analysis to include unbound systems
$\rightarrow$ continuous energies, momenta

## Statistical Mechanics in a Nutshell

classically, phase space ( $\vec{x}, \vec{p}$ )
completely describes particle state
but quantum mechanics $\rightarrow$ uncertainty $\Delta x \Delta p \geq \hbar / 2$
semi-classically: min phase space "volume"
$\left(d x d p_{x}\right)\left(d y d p_{y}\right)\left(d z d p_{z}\right)=h^{3}=(2 \pi \hbar)^{3}$
per quantum state of fixed $\vec{p}$
define "occupation number" or "distribution function" $f(\vec{x}, \vec{p})$ :
number of particles in each phase space "cell"
$Q$ : $f$ range for fermions? bosons?

$$
\begin{equation*}
d N=g f(\vec{x}, \vec{p}) \frac{d^{3} \vec{x} d^{3} \vec{p}}{(2 \pi \hbar)^{3}} \tag{2}
\end{equation*}
$$

${ }^{\wedge}$ where $g$ is \# internal (spin/helicity) states:
Q: $g\left(e^{-}\right) ? g(\gamma) ? g(p)$ ?

Fermions: $0 \leq f \leq 1$ (Pauli)
Bosons: $f \geq 0 g\left(e^{-}\right)=2 s\left(e^{-}\right)+1=2$ electron, same for $p$ $g(\gamma)=2$ (polarizations) photon

Particle phase space occupation $f$ determines bulk properties
Number density

$$
\begin{equation*}
n(\vec{x})=\frac{d^{3} N}{d^{3} x}=\frac{g}{(2 \pi \hbar)^{3}} \int d^{3} \vec{p} f(\vec{p}, \vec{x}) \tag{3}
\end{equation*}
$$

Mass-energy density

$$
\begin{equation*}
\varepsilon(\vec{x})=\rho(\vec{x}) c^{2}=\langle E n\rangle=\frac{g}{(2 \pi \hbar)^{3}} \int d^{3} \vec{p} E(p) f(\vec{p}, \vec{x}) \tag{4}
\end{equation*}
$$

Pressure see director's cut extras for more
$P(\vec{x})=\left\langle p_{i} v_{i} n\right\rangle_{\text {direction } i} \stackrel{\text { isotrop }}{=} \frac{\langle p v n\rangle}{3}=\frac{g}{(2 \pi \hbar)^{3}} \int d^{3} \vec{p} \frac{p v(p)}{3} f(\vec{p}, \vec{x})$
Q: these expressions are general-simplifications in FLRW?

FRLW universe:

- homogeneous $\rightarrow$ no $\vec{x}$ dep
- isotropic $\rightarrow$ only $\vec{p}$ magnitude important $\rightarrow f(\vec{p})=f(p)$


## in thermal equilibrium at $T$ :

- Boson occupation number

$$
\begin{equation*}
f_{\mathrm{b}}(p)=\frac{1}{e^{(E-\mu) / k T}-1} \tag{6}
\end{equation*}
$$

$\triangleright$ Fermion occupation number

$$
\begin{equation*}
f_{\mathrm{f}}(p)=\frac{1}{e^{(E-\mu) / k T}+1} \tag{7}
\end{equation*}
$$

Note: $\mu$ is "chemical potential" or "Fermi energy" $\mu=\mu(T)$ but is independent of $E$

If $E-\mu \gg T$ : both $f_{\mathrm{f}, \mathrm{b}} \longrightarrow f_{\text {Boltz }}=e^{-(E-\mu) / k T}$
$\rightarrow$ Boltzmann distribution

## The Meaning of the Chemical Potential

For a particle species in thermal equilibrium

$$
\begin{equation*}
f(p ; T, \mu)=\frac{1}{e^{[E(p)-\mu] / k T} \pm 1} \tag{8}
\end{equation*}
$$

What is $\mu$, and what does it mean physically?
First, consider what if $\mu=0$ ?

- then $f$ depends only on $T$ and particle mass and thus so do $n, \rho, P$ : why?
- all samples of a substance at fixed $T$ have exactly the same $n, \rho, P$ !
- and hotter $\rightarrow$ larger $n, \rho, P$
sometimes true! Q: examples?
, but not always! Q: examples?
Q: what is physics behind $\mu$ ?


## Chemical Potential \& Number Conservation

particle number often conserved
$\rightarrow n=n_{\text {cons }}$ fixed by initial conditions, not $T$
if particle number conserved, then $\mu \neq 0$ and $\mu$ determined by solving $n_{\text {cons }}=n(\mu, T) \rightarrow \mu\left(n_{\text {cons }}, T\right)$
so: $\mu \neq 0 \Leftrightarrow$ particle number conservation

## Chemical Potential and Reactions

reactions change particle numbers among species
in "chemical" equilibrium: forward rate $=$ reverse rate
for example: "two-to-two" reaction $a+b \leftrightarrow A+B$
conservation laws (charge, baryon number, etc.)
force relations between chemical potentials
so in above example: $\mu_{a}+\mu_{b}=\mu_{A}+\mu_{B}$
sum of chemical potentials "conserved"
in general:

$$
\begin{equation*}
\sum_{\text {initial particles } i} \mu_{i}=\sum_{\text {final particles } f} \mu_{f} \tag{9}
\end{equation*}
$$

## Equilibrium Thermodynamics

Gas of mass $m$ particles at temp $T$ :
$n, \rho$, and $P$ in general complicated
because of $E(p)=\sqrt{p^{2}+m^{2}}$
but simplify in ultra-rel and non-rel limits

Non-Relativistic Species
$E(p) \simeq m+p^{2} / 2 m, T \ll m$
for $\mu \ll T$ : Maxwell-Boltzmann, same for Boson, Fermions
for non-relativistic particles $=$ matter
energy density, number density vs $T$ ?
$Q$ : recall $n(a), \rho(a)$ and $T(a)$ ?

## Non-Relativistic Species

## number density

$$
\begin{align*}
n & =\frac{g}{(2 \pi \hbar)^{3}} e^{-\left(m c^{2}-\mu\right) / k T} \int d^{3} p e^{-p^{2} / 2 m k T}  \tag{10}\\
& =g e^{-\left(m c^{2}-\mu\right) / k T}\left(\frac{m k T}{2 \pi \hbar^{2}}\right)^{3 / 2} \tag{11}
\end{align*}
$$

energy density:

$$
\begin{align*}
\rho c^{2} & =\langle E n\rangle=\varepsilon_{\text {rest mass }}+\varepsilon_{\text {kinetic }}  \tag{12}\\
& =m c^{2} n+\frac{3}{2} k T n  \tag{13}\\
& \simeq \varepsilon_{\text {rest mass }}=m c^{2} n \tag{14}
\end{align*}
$$

pressure

II

$$
\begin{align*}
P & =\frac{\langle p v n\rangle}{3}=\frac{\left\langle p^{2} n / m\right\rangle}{3}=\frac{2}{3} \varepsilon_{\text {kinetic }}  \tag{15}\\
& =n k T \ll \rho c^{2} \tag{16}
\end{align*}
$$

recover the ideal gas law!

## The Ratio of Baryons to Photons

The number of barons per photon is the "baryon-to-photon ratio" $\eta \equiv n_{B} / n_{\gamma}$
photons not conserved in general:
e.g., Brehmsstrahlung $e \rightarrow e+\gamma$
so chem pot $\mu_{e}=\mu_{e}+\mu_{\gamma} \rightarrow \mu_{\gamma}=0$
$\rightarrow n_{\gamma} \sim T^{3}$ : fixed by $T$ alone
baryons conserved:
\#baryons $=$ const in comoving vol
$d\left(n_{B} a^{3}\right)=0 \rightarrow n_{B} \propto a^{-3}$
$\rightarrow$ so $\mu_{B}(T) \neq 0$ enforces this scaling
Thus we have

$$
\begin{equation*}
\eta=\frac{n_{B, 0} a^{-3}}{n_{\gamma, 0}\left(T / T_{0}\right)^{3}}=\left(\frac{T_{0}}{a T}\right)^{3} \eta_{0} \tag{17}
\end{equation*}
$$

baryon number conservation: $n_{\mathrm{B}} \propto a^{-3}$
thermal photons: $n_{\gamma} \propto T^{3}$
so as long as $T \sim 1 / a$ then
$\eta=$ const! baryon-to-photon ratio conserved!
thus we expect $\eta_{\mathrm{BBN}}=\eta_{\mathrm{CMB}}=\eta_{0}$ !
numerically (from BBN, CMB anisot):

$$
\begin{equation*}
\eta_{0} \sim 6 \times 10^{-10} \ll 1 \tag{18}
\end{equation*}
$$

huge number of photons per baryon! never forget!
but $\rho_{B} / \rho_{\gamma} \sim m_{B} n_{B} / T n_{\gamma} \sim \eta m_{B} / T \neq$ const

## Recombination: Equilibrium Thermodynamics

dominant cosmic plasma components $\gamma, p, e, \mathrm{H}$ (ignore $\mathrm{He}, \mathrm{Li}$ ) equilibrium: equal forward and reverse rates for

$$
p+e \leftrightarrow \mathrm{H}+\gamma
$$

and so chem potentials have

$$
\begin{equation*}
\mu_{p}+\mu_{e}=\mu_{\mathrm{H}} \tag{11}
\end{equation*}
$$

recall: for non-rel species $n=g\left(m T / 2 \pi \hbar^{2}\right)^{3 / 2} e^{-(m-\mu) / T}$ thus we have Saha equation

$$
\begin{align*}
& \qquad \begin{aligned}
& \frac{n_{e} n_{p}}{n_{\mathrm{H}}}=\frac{g_{e} g_{p}}{g_{\mathrm{H}}}\left(\frac{m_{e} m_{p}}{m_{\mathrm{H}}}\right)^{3 / 2}\left(\frac{T}{2 \pi \hbar^{2}}\right)^{3 / 2} e^{-\left(m_{e}+m_{p}-m_{\mathrm{H}}\right) / T} \\
& \approx\left(\frac{m_{e} T}{2 \pi \hbar^{2}}\right)^{3 / 2} e^{-B / T} \\
& \text { where } B \equiv m_{e}+m_{p}-m_{\mathrm{H}}=13.6 \mathrm{eV}
\end{aligned} \tag{20}
\end{align*}
$$

introduce "free electron fraction" $X_{e}=n_{e} / n_{B}$
use $n_{B}=\eta n_{\gamma} \propto \eta T^{3}$
from Extras last time: $n_{\gamma}=2 \zeta(3) / \pi^{2} T^{3}$, with $\zeta(3)=\sum_{1}^{\infty} 1 / n^{3}=1.20206 \ldots$
and note that $n_{p}=n_{e} Q:$ why?, so

$$
\begin{equation*}
\frac{n_{e}^{2}}{n_{\mathrm{H}}^{n_{B}}}=\frac{X_{e}^{2}}{1-X_{e}}=\frac{\sqrt{\pi}}{4 \sqrt{2} \zeta(3)} \frac{1}{\eta}\left(\frac{m_{e}}{T}\right)^{3 / 2} e^{-B / T} \tag{22}
\end{equation*}
$$

Q: sanity checks? what sets characteristic $T$ scale?
Q: when is $X_{e}=0$ (exactly)?

At last-recombination!
Q: how define physically?
Q: how define operationally, in terms of $X_{e}$ ?
$\stackrel{\leftrightarrow}{\bullet}$ : given some $X_{e, \text { rec }}$, how to get $z_{\text {rec }}$ ?

Director's Cut Extras

## Kinetic Theory of Pressure due to Particle Motions

consider cubic box, sidelength $L$ (doesn't really need to be cubic)
contain "gas" of $N$ particles: can be massive or massless
particles collide with walls, bounce back elastically
particles exert force on wall $\leftrightarrow$ wall on particles this lead to bulk pressure
focus on one particle, and its component of motion in one (arbitrary) axis $x$ : speed $v_{x}$, momentum $p_{x}$

- elastic collision: $p_{x, \text { init }}=-p_{x, f i n} \rightarrow \delta p_{x}=2 p_{x}$
- collision time interval for same wall: $\delta t_{x}=v_{x} / 2 L$
- single-particle momentum transfer (force) per wall:

$$
F_{x}=\delta p_{x} / \delta t_{x}=p_{x} v_{x} / L
$$

- single-particle force per wall area:
$P=F_{x} / L^{2}=p_{x} v_{x} / L^{3}=p_{x} v_{x} / V$
Q: total pressure?
total pressure is sum over all particles:

$$
\begin{equation*}
P=\sum_{\text {particles } \ell=1}^{N} \frac{p_{x}^{(\ell)} v_{x}^{(\ell)}}{V} \tag{23}
\end{equation*}
$$

can rewrite in terms of an average momentum flux

$$
\begin{equation*}
P=\frac{N}{V} \frac{\sum_{\ell=1}^{N} p_{x}^{(\ell)} v_{x}^{(\ell)}}{N}=\left\langle p_{x} v_{x}\right\rangle n \tag{24}
\end{equation*}
$$

where $n=N / V$ is number density
$\left\langle p_{x}\right\rangle n$ would be average momentum density along $x$ and $\left\langle p_{x} v_{x}\right\rangle n$ is average momentum flux along $x$
if particle gas has isotropic momenta, then

$$
\begin{equation*}
\left\langle p_{x} v_{x}\right\rangle=\left\langle p_{y} v_{y}\right\rangle=\left\langle p_{z} v_{x}\right\rangle=\frac{1}{3}\langle\vec{p} \cdot \vec{v}\rangle=\frac{1}{3}\langle p v\rangle \tag{25}
\end{equation*}
$$

$$
\text { so } P=\frac{1}{3}\langle p v\rangle n
$$

Ultra-Relativistic Species
$E(p) \simeq c p \gg m c^{2}$ (i.e., $k T \gg m c^{2}$ ):
Also take $\mu=0(\mu \ll k T)$
energy density, number density?
Q: recall the answers?
for relativistic bosons
number density

$$
\begin{aligned}
n_{\mathrm{rel}, \mathrm{~b}} & =\frac{g}{(2 \pi \hbar)^{3}} \int d^{3} p \frac{1}{e^{c p / k T}-1} \\
& =\frac{4 \pi g}{(2 \pi \hbar)^{3}} \int d p p^{2} \frac{1}{e^{c p / k T}-1}=\frac{g}{2 \pi^{2}}\left(\frac{k T}{\hbar c}\right)^{3} \int_{0}^{\infty} d u u^{2} \frac{1}{e^{u}-1} \\
& =g \frac{\zeta(3)}{\pi^{2}}\left(\frac{k T}{\hbar c}\right)^{3} \propto T^{3}
\end{aligned}
$$

where

$$
\begin{equation*}
\zeta(3)=\sum_{n=1}^{\infty} \frac{1}{n^{3}}=1+\frac{1}{2^{3}}+\frac{1}{3^{3}}+\cdots=1.20206 \ldots \tag{26}
\end{equation*}
$$

relativistic fermions:

$$
\begin{equation*}
n_{\mathrm{rel}, \mathrm{f}}=\frac{3}{4} n_{\mathrm{rel}, \mathrm{~b}} \tag{27}
\end{equation*}
$$

so $n \propto T^{3}$ for both
e.g., CMB today: $n_{\gamma, 0}=411 \mathrm{~cm}^{-3}$
energy density: relativistic bosons

$$
\begin{aligned}
\rho_{\mathrm{rel}, \mathrm{~b}} c^{2} & =\frac{g}{(2 \pi \hbar)^{3}} \int d^{3} p c p \frac{1}{e^{c p / k T}-1} \\
& =\frac{g}{2 \pi^{2}} \frac{(k T)^{4}}{(\hbar c)^{3}} \int_{0}^{\infty} d u u^{3} \frac{1}{e^{u}-1} \\
& =g \frac{\pi^{2}}{30} \frac{(k T)^{4}}{(\hbar c)^{3}}
\end{aligned}
$$

and for fermions

$$
\begin{equation*}
\rho_{\mathrm{rel}, \mathrm{f}}=\frac{7}{8} \rho_{\mathrm{rel}, \mathrm{~b}} \tag{28}
\end{equation*}
$$

so $\rho \propto T^{4}$ for both
pressure

$$
\begin{equation*}
P_{\text {rel }}=\left\langle\frac{p v}{3} n\right\rangle=\frac{1}{3} \rho_{\mathrm{rel}} c^{2} \tag{29}
\end{equation*}
$$

since $v=c$
$P \propto T^{4}$

## Temperature Evolution

If in therm eq, maintain photon occ. \#

$$
\begin{equation*}
f(p)=\frac{1}{e^{p / T}-1} \tag{30}
\end{equation*}
$$

but $c p=h \nu=h c / \lambda \propto 1 / a(t)$ :
$\Rightarrow p=p_{0} / a$
w/o interactions, const \# $\gamma$ per mode $p$
$\Rightarrow f(p)=$ const
$\Rightarrow p(t) / T(t)=p_{0} / T_{0}$
$\Rightarrow T / T_{0}=p / p_{0}=1 / a=1+z$
e.g., at $z=3, \mathrm{CMB} T=4 T_{0} \simeq 11 \mathrm{~K}$ (measured in QSO absorption line system!)
recall: used $w=1 / 3$ to show $\rho_{\gamma} \propto a^{-4}$
$N$ but blackbody $\rho_{\gamma} \propto T^{4}$
together $T \propto 1 / a(O K!)$

