

Astro 507  
Lecture 28  
April 2, 2014

Announcements:

- **PS 5 due now**
- **Preflight 6 posted today—last PF!**

Last time: slow-roll inflation

- scalar field dynamics in an expanding universe  
slow roll conditions constrain inflaton potential

*Q: what's rolling? why must roll be slow?*

*what is required to make it slow?*

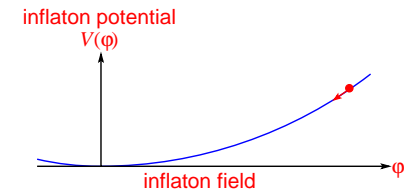
*Q: what is  $\phi$ ?  $V(\phi)$ ?*

*how does inflation begin? end?*

# Ingredients of an Inflationary Scenario

Recipe:

1. **inflaton field  $\phi$  must exist** in early U.
2. must have  $\rho_\phi \approx V$  so that  $w_\phi \rightarrow -1$   
so that  $a \sim e^{Ht}$
3. continue to exponentiate  $a \sim e^N a_{\text{init}}$   
for at least  $N = \int H dt \gtrsim 60$  e-folds
4. stop exponentiating eventually (**“graceful exit”**)
5. convert field  $\rho_\phi$  back to radiation, matter (**“reheating”**)
6. then  $\phi$  must “keep a low profile,”  $\rho_\phi \ll \rho_{\text{tot}}$
- 7 (bonus) what about acceleration and dark energy today?



2 Q: *what can we say about how inflation fits in the sequence of cosmic events, e.g. monopole production, baryon genesis, BBN, CMB?*

# Cosmic Choreography: The Inflationary Tango

Inflation must occur such that it solves various cosmological problems, then allows for the universe of today, which *must*

- ▷ contain the known particles, e.g., a net baryon number
  - ▷ pass thru a radiation-dominated phase (BBN) and a matter-dominated phase (CMB, structure formation)
- ⇒ this forces an ordering of events

Cosmic Choreography: Required *time-ordering*

1. monopole production (if any)
2. inflation
3. baryogenesis (origin of  $\eta \neq 0$ )
4. radiation → matter → dark energy eras

ω

Electroweak woes: hard to arrange baryogenesis afterwards!

# Models for Inflation

Inflation model: specifies inflaton potential  $V(\phi)$   
[+ initial conditions, reheat prescription]

*good news:*

involves physics at extremely high energy scales  
probed by observable signatures of inflation

*bad news:*

involves physics at extremely high energy scales  
far beyond the reach of present-day or planned accelerators  
no laboratory guidance or checks of inflationary physics

‡ Q: *possible physically reasonable choices for  $V(\phi)$ ?*

# A Sample of Single-Field Potentials

## Polynomial Potentials

e.g., Klein-Gordon  $V = m^2\phi^2/2$ , quartic  $V = \lambda\phi^4$

- simplest models giving inflation
- require *Planck-scale* initial conditions for  $\phi$
- but to achieve sufficient inflation (enough  $e$ -foldings  $N$ ) and perturbations at observed (CMB) scale demands *tiny coupling*  $\lambda \sim 10^{-13}$  (!)  
→ potential energy scale  $V \ll m_{\text{pl}}^4$   
but why is coupling so small?

Illustrates characteristics of (successful) inflation models:

▷ large initial  $\phi \gtrsim m_{\text{pl}}$  value

▷ small coupling in  $V \rightarrow$  scale  $V^{1/4} \sim 10^{15-16}$  GeV (GUT?)

## Exponential Potentials: Power-Law Inflation

for potentials of the form

$$V = V_0 \exp\left(-\sqrt{\frac{2}{3}} \frac{\phi}{m_{\text{pl}}}\right) \quad (1)$$

then can solve equations of motion exactly:

$$a \sim t^p \quad (2)$$

if  $p > 1$ , U. accelerates, but not exponentially

## Designer Potentials

can customize  $V$  to give desired  $a(t)$ , e.g.,

to get  $a \sim \exp(At^f)$ , with  $0 < f < 1$

then choose

$$V(\phi) \sim \left(\frac{\phi}{m_{\text{pl}}}\right)^{-\beta} \left[1 - \frac{\beta^2}{6} \left(\frac{m_{\text{pl}}^2}{\phi^2}\right)\right] \quad (3)$$

## How about the Higgs?

from electroweak unification, we know of one scalar  
→ Higgs field  $H^0$ ,  $M_H \approx 125$  GeV

same symbol as Hubble, right kind of field → is it  $\phi$ ?  
i.e., what about inflation at the electroweak scale?

not a bad idea—possibly correct!—but nontrivial at best  
problem not with inflation, but its place in the cosmic dance

# Inflation, Inhomogeneities, and Quantum Mechanics

Thus far: classical treatment of inflaton field

(except for inflaton decays during reheating)

- $\phi$  described by classical equations of motion
- taken to hold for arbitrarily small  $\phi$

In this picture:

when exit inflation, universe essentially

▷ perfectly flat, and

▷ perfectly smooth—i.e., density spatially uniform

regardless of initial conditions (as long as they allowed inflation)

*Q: why?*



## Classical Inflation and Smoothness

expect initial spatial inhomogeneities in  $\phi(\vec{x})$   
but evolves **classically** as

$$\ddot{\phi} - \nabla^2 \phi + 3H\dot{\phi} - V' = 0 \quad (4)$$

where

$$\nabla^2 = \sum \frac{\partial^2}{\partial x_{\text{phys}}^2} = \frac{1}{a^2} \sum \frac{\partial^2}{\partial x_{\text{com}}^2} \quad (5)$$

inhomogeneities  $\delta\phi(\vec{x})$  measured by nonzero gradients  
but since  $\nabla^2 \propto 1/a^2 \rightarrow 0$  exponentially, classically:  $\delta\phi(\vec{x}) \rightarrow 0$   
 $\Rightarrow$  after inflation  $\phi$  and  $\rho = V(\phi)$  exponentially smooth in space

**good news:** solved flatness, smoothness problems

◦ **bad news:** we have done too much! too smooth!  
can't form structures if density perfectly uniform

## Quantum Mechanics to the Rescue

but quantum mechanics exists and is mandatory  
classical  $\phi$  field  $\rightarrow$  quantized as inflatons  
think  $\vec{E}, \vec{B}$  vs photons

inflaton field **must** contain quantum fluctuations  
before, during inflation

uncertainty principle:  $\Delta x \Delta p \sim \hbar$

causal region at time  $t$ : Hubble length  $\Delta x \sim d_H = c/H(t)$   
expect momentum and energy fluctuations

$$c\Delta p \sim \Delta E \sim \hbar H \quad (6)$$

Q: *implications?*

10 Q: *fate of fluctuations born a given scale  $\lambda_{\text{init}}$ ?*

Q: *analogy with Hawking radiation?*

# A Quantum Perturbation Factory

quantum mechanics: perturbations in energy  $\rightarrow \delta\phi$   
 $\rightarrow$  different regions start inflation at different  $V(\phi)$

quantum fluctuations born at scale  $\lambda_{\text{init}}$

- exponentially stretched until  $\lambda > d_H$  “horizon crossing”
- then no longer causally connected  
 $\rightarrow$  cannot “fluctuate back to zero”
- *“frozen in” as real density perturbations!*

**cosmic structures originate from quantum fluctuations!**

Hawking radiation analogy:

uncertainty principle:  $\Delta E \Delta t \sim \hbar$ , so in timescale

$\Delta t \lesssim \hbar / m_\psi c^2$ : particle pairs  $\psi\bar{\psi}$  born and annihilate

II

*black hole*: one falls in, other emitted as thermal Hawking rad.

*inflation*: pair separated by expansion, “frozen” as fluctuation

## Implications

If the inflationary model is true  
density fluctuation “seeds” of cosmic structures are inflated  
quantum mechanical fluctuations

*Q: how does this limit what we can know about them?*

*Q: what can we hope to know?*

*Q: what do we need to calculate?*

# Inflationary Fluctuations: What we need to know

quantum fluctuations are *random*

→ impossible to predict locations, amplitudes of overdensities

→ cannot predict location, mass, size of *any particular*  
cosmic object: galaxy/cluster/supercluster ...

but quantum mechanics does allow *statistical predictions*

What we want: *statistical* properties of fluctuations

- typical magnitude of fluctuations  $\delta\phi$
- how  $\delta\phi$  depends on lengthscales
- corresponding fluctuations in  $\rho_\phi$
- correlations at different length scales

## Fluctuation Amplitude: Rough Estimate

quantum fluctuation  $\rightarrow$  turn to uncertainty principle

$$\delta E \delta t \sim \hbar \sim 1 \quad (7)$$

recall: energy density is

$$\rho_\phi = \frac{1}{2}\dot{\phi}^2 + \frac{1}{2}(\nabla\phi)^2 + V \quad (8)$$

if perturbation from classical:  $\phi(t, \vec{x}) = \phi_{\text{cl}}(t) + \delta\phi(t, \vec{x})$ ,

then for small  $\delta\phi \ll \phi_{\text{cl}}$ ,

$$\delta\rho \sim (\nabla\delta\phi)^2 + V'(\phi_{\text{cl}})\delta\phi \approx (\nabla\delta\phi)^2 \quad (9)$$

since slow roll  $\rightarrow V'$  small (flat potential)

14 Q: what is characteristic volume for fluctuation?

Q: what is characteristic timescale  $\delta t$ ?

$H^{-1}$  is only lengthscale in problem

so  $\nabla\delta\phi \sim \delta\phi/H^{-1} \Rightarrow \delta\rho \sim H^2(\delta\phi)^2$

so in Hubble volume  $V_H = d_H^3 = H^{-3}$ , energy fluctuation is

$$\delta E = \delta\rho V_H = \frac{(\delta\phi)^2}{H} \quad (10)$$

characteristic timescale is  $\delta t \sim 1/H$ , so

$$\delta E \delta t \sim \frac{(\delta\phi)^2}{H^2} \sim 1 \quad (11)$$

and typical (root-mean-square) inflaton fluctuation is

$$\delta\phi \sim H \quad (12)$$

had to be!  $H$  is the only other dimensionally correct scale in the problem!

Note:  $H \sim \text{const}$  during inflation  
all fluctuations created with  $\sim$  same amplitude

## What Just Happened?

To summarize:

- *classically*, inflaton field  $\phi_{\text{cl}}$  quickly inflates away any of its initial perturbations
- but *quantum fluctuations*  $\delta\phi$  unavoidable created and persist throughout inflation
- in any region, amplitude  $\delta\phi(\vec{x})$  random but *typical* value  $\delta\phi \sim H$

*Q: what do the presence of inflaton fluctuations mean for inflationary dynamics in different regions?*

*Q: what consequences/signatures of fluctuations might remain after inflation?*



# Fluctuation Evolution and the Cosmic Horizon

in presence of fluctuations  $\delta\phi$  and  $\delta\rho_\phi$   
can view inflationary universe as ensemble of “sub-universes”  
evolving independently—same slow roll, but  
with different  $\phi$ ,  $\rho_\phi$  at a fixed  $t$   
classical discussion  $\rightarrow$  ensemble average  
now want behavior typical deviation from mean

particle horizon  $\sim H^{-1}$  critical

- already saw: sets scale for fluctuation
- also “shuts off” fluctuation evolution

consider perturbation of lengthscale  $\lambda$

- leaves horizon when  $H \sim 1/\lambda$
- then can't evolve further: keeps same  $\delta\rho/\langle\rho\rangle$
- until after inflation, when re-enters horizon

Bottom line: at any given scale  $\lambda$   
relevant perturbation is the one born  
during inflation when  $\lambda \sim 1/H$

dimensionless perturbation amplitude:  
fraction of mean density in horizon  $\delta_H \equiv \delta\rho / \langle\rho\rangle$

on scale  $\lambda$ , amplitude fixed at horizon exit

$\delta\phi \sim H$  (in fact,  $H/2\pi$ )

→ perturbed universe starts inflating at higher  $\phi$

or undergoes inflation for different duration  $\delta t \simeq \delta\phi / \dot{\phi}$

this gives an additional expansion

$$\delta \ln a = \frac{\delta a}{a} = H \delta t = \frac{H^2}{2\pi \dot{\phi}} \quad (13)$$

but inflation exit is set at fixed  $\phi_{\text{end}}$   
and fixed potential value  $V_{\text{end}} \sim \rho_{\text{end}}$

perturbed energy density at end of inflation set by  
different expansion at inflation exit:

$$\delta_{\text{H}} \equiv \frac{\delta\rho}{\langle\rho\rangle} \quad (14)$$

$$\sim \frac{\delta(a^3 V_{\text{end}})}{\langle a^3 V_{\text{end}} \rangle} \sim \frac{\delta a}{a} = \frac{H^2}{2\pi\dot{\phi}} \quad (15)$$

evaluated at any scale  $\lambda$  at horizon crossing

i.e., when  $\lambda_{\text{com}} \sim 1/aH$

$\Rightarrow$  *density perturbations created at all length scales!*

caution: quick-n-dirty result

but gives right answer

in particular, fluctuation indep of lengthscale

## What Just Happened? ...Part Deux

the *classical* behavior of a slow-rolling  $\phi$   
lead to homogeneity, isotropy  
regardless of initial conditions  
 $\Rightarrow$  fixes horizon, flatness, monopole problem

the *quantum* fluctuations in  $\phi$   
lead to density perturbations on all lengthscales  
including scales  $\gg d_{\text{hor}}$  today  
these perturbations form the “seeds” for cosmic structures!

quantum mechanics & uncertainty principle  
essential for the existence of cosmic structure

20 *“The Universe is the ultimate free lunch.”*

– Alan Guth